

# A Fully Abstract May Testing Semantics for Concurrent Objects

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## Abstract

This paper provides a fully abstract semantics for a variant of the concurrent object calculus using the new may testing for concurrent object components and then characterises it using a trace semantics inspired by ML interaction algebra. The main result of this paper is to show that the trace semantics is fully abstract for may testing. This is the first such result for a concurrent object calculus.

## Introduction

Abraham and Cardelli's object calculus is a natural and useful investigation of features of object calculi such as encapsulation, state subtyping and session variables. Gordon and Harizanov have extended these features to the object calculus to produce the concurrent object calculus.

Our work on the object calculus has concentrated on the operational behaviour of object systems and type systems which provide type safety guarantees. The closest paper to ours is Gordon and Harizanov's fully abstract semantics for the  $\lambda$ -calculus of the object calculus. There has been no work on providing a fully abstract semantics for concurrent object calculi.

In this paper we present the first fully abstract testing semantics for a variant of Gordon and Harizanov's concurrent object calculus without subtyping. The lack of subtyping here allows a simpler presentation of the abstractions and traces but we anticipate that the proof techniques used here are robust enough to cater for subtyping as well. This semantics was inspired by ML interaction algebra which are a common tool for visualising interactions with object systems.

## Interaction diagrams

Interaction algebra is a particular sequence algebra which were developed by Jacobson and are now part of the new Mobile Language standard. Interaction algebra records the messages sent between objects of a component in an object system. These messages include the following:

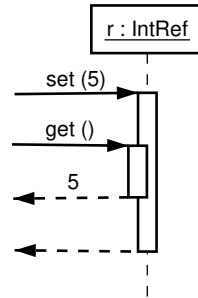
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an returns interaction a ra s an c u e ot her or s o e ssa e but we w not use these in this paper !

A s p e interaction w t an ante er re e r e n c e o b j e c t r o f t y p e IntRef

sequence diagrams can be used for illustrating applications or examples



Here two threads independently call methods of the object `r` creating a race condition. In our textual representation we have the threads names and we decorate each message with the thread responsible for the message.

```
thread1 callr.set( 5)
thread2 callr.get
```

- Messages are not in or out of a message case or attached in or out of a not in returns
- Messages are decorated with their identifiers
- Messages may include references

We have only used a very small subset of sequence calculus which in turn is a very small subset of ML but in this paper we will show that this small subset is very expressive and in particular provides a fully abstract semantics

## The object calculus

The object calculus is a natural language for describing object based programming. Abelson and Carver provide a type system and operational semantics for a variety of object calculus and prove type safety for the  $\pi$  calculus. Gordon and Harner have since extended this language to include concurrent features

In this paper we shall investigate a variant of Gordon and Harner's concurrent object calculus which includes

- A heap of named objects and threads
- Threads can call or update object methods can compare object or thread names, or equality can create new objects and threads and can discover their own thread name
- An operational semantics based on the  $\pi$  calculus and a simple type system
- A trace semantics as discussed in section 1.1

We are not considering any of the more advanced features of the object calculus or the concurrent object calculus such as recursive types, object cloning, object cloning, this is sufficient for simplicity and we do not see any technical problems with incorporating these features into our language

In another strand of research, DeBasio and Fisher have also investigated a calculus for object based concurrent object based systems. As with Abelson and Carver's object calculus and its various extensions, the expressiveness of DeBasio and Fisher's works as a lambda type system and safety properties for the  $\pi$

## Full abstraction

The problem of full abstraction was first introduced by Milner and investigated in depth by Plotkin. Full abstraction was first proposed for variants of the lambda calculus but has since been investigated for process algebras, the  $\pi$  calculus, the  $\nu$  calculus, Concurrent ML and the  $\lambda$  table object calculus

we can then define the *may testing preorder* as  $C \sqsubseteq_{\text{ay}} C'$  whenever

for any appropriate type  $C$   
 $C \sqsubseteq_{\text{ay}} C'$  is successful then  $C' \sqsubseteq_{\text{ay}} C$  is successful

Unfortunately a thorough analysis is very simple to define and is quite intuitive. May testing is often very difficult to reason about directly because of the quantification over any appropriate type  $C$ . In practice we require a proof technique which we can use to show results about may testing.

One approach is to use a *trace semantics* given by the finite possible executions of components  $C \stackrel{s}{=} C'$  where  $s$  is a sequence of events. We then write  $\text{Traces}(C)$  for the set of all traces of  $C$ . We say that

- traces are *sound* for may testing when  $\text{Traces}(C) \subseteq \text{Traces}(C')$  implies  $C \sqsubseteq_{\text{ay}} C'$
- traces are *complete* for may testing when  $C \sqsubseteq_{\text{ay}} C'$  implies  $\text{Traces}(C) \subseteq \text{Traces}(C')$
- traces are *fully abstract* when  $\text{Traces}(C) \subseteq \text{Traces}(C')$  implies  $C \sqsubseteq_{\text{ay}} C'$

Components	$C = \lambda x. C$	$C = \lambda x. C$	$C = \lambda x. C$	$C = \lambda x. C$	$C = \lambda x. C$
Objects	$O = \lambda l. M, \dots, l = M$	$O = \lambda l. M, \dots, l = M$	$O = \lambda l. M, \dots, l = M$	$O = \lambda l. M, \dots, l = M$	$O = \lambda l. M, \dots, l = M$
Methods	$M = \lambda x. T$	$M = \lambda x. T$	$M = \lambda x. T$	$M = \lambda x. T$	$M = \lambda x. T$
Areas	$t = v \mid \text{stop} \mid \text{let } x = e \text{ in } t$	$t = v \mid \text{stop} \mid \text{let } x = e \text{ in } t$	$t = v \mid \text{stop} \mid \text{let } x = e \text{ in } t$	$t = v \mid \text{stop} \mid \text{let } x = e \text{ in } t$	$t = v \mid \text{stop} \mid \text{let } x = e \text{ in } t$

definition of expressions

- A **value**  $f = v$  in an object  $\lambda$  syntax  $\text{syntax}_f$  or a **value**  $f = \zeta(n, T, \lambda(\cdot, v))$
- A **type**  $f = T$  in an object  $\lambda$  syntax  $\text{syntax}_f$  or a **type**  $f = (T)$
- A **access expression**  $v.f$  in  $\text{syntax}_f$  or a **access**  $v.f()$
- A **update expression**  $n.f = v$  in  $\text{syntax}_f$  or a **update**  $n.f = (\zeta(p, T, \lambda(\cdot, v)))$

In addition we have restricted any subexpressions of an expression to be values rather than expressions or expressions or expressions. In a **value**  $v.l(\vec{v})$  we require the object and the arguments to be values rather than expressions. This is a less operationally sensitive restriction because it does not restrict the expressivity of the language or expressions. (let  $x = e$  in let  $\vec{x} = \vec{e}$  in  $x.l(\vec{x})$ )

A thread  $t$  consists of a stack of expressions terminated either by a return value

$$\text{let } x = T = e \text{ in } \dots \text{let } x_n = T_n = e_n \text{ in } v$$

or by a special `stop` thread

$$\text{let } x = T = e \text{ in } \dots \text{let } x_n = T_n = e_n \text{ in stop}$$

Each expression is either `use` a thread or

- an expression `if  $v = v$  then  $e$  else  $e$`
- a `let` object `val( $\vec{v}$ )`
- a `let` object `update  $n.l$   $M$`  on a name object
- a new object `new  $O$`
- a new thread `new  $t$`  or
- the current thread name `currentthread`

Each value is a primitive or a variable and we defer the discussion of types until section 11.

## • Static semantics

The static semantics of our concurrent object calculus is given in Figures 11. Most of the rules are straightforward adaptations of those given by Abadi and Cardelli. The main difference is  $\Delta \vdash C \vdash \Theta$  which is read as the component  $C$  uses names  $\Delta$  and the names  $\Theta$ . For example, we define  $C(v = C)$  as `IntRef` as

$$\begin{aligned} C(v = p) \\ \text{contents} = v, \\ \text{set} = \zeta(\text{this IntRef } \lambda(x \text{ Int } . \text{this.contents} = x, x), \\ \text{get} = \zeta(\text{this IntRef } \lambda() . \text{this.contents} \end{aligned}$$

$$\begin{aligned} C = n \\ \text{let } x = p.\text{get}() \text{ in } p.\text{set}(x, \text{stop}) \end{aligned}$$

$$\begin{aligned} \text{IntRef} \\ \text{contents} = \text{Int} \end{aligned}$$



$$\frac{\Delta \vdash \lambda ( \frac{\Delta, n \ T \ \text{new} \ T}{\Delta \ n \ \text{new} \ T} \quad \frac{\Delta, n \ \text{thread} \ t \ \text{none}}{\Delta \ n \ t \ \text{thread}} )}{\Delta \ (C \ \text{new} \ (C \ \text{new} \ (\Theta, \Theta) \ \Delta \ \text{new} \ T \ \text{new} \ C \ \Theta)}$$

For ure — u es<sub>i</sub> or u e ent  $\Delta \ \text{new} \ C \ \Theta$

$$\frac{\Gamma, \Delta \ M \ T.l \ \dots \ \Gamma, \Delta \ M_k \ T.l_k}{\Gamma, \Delta \ l = M, \dots, l_k = M_k \ T}$$

For ure — u es<sub>i</sub> or u e ent  $\Gamma, \Delta \ \text{new} \ T$  when  $T = l \ L, \dots, l_k \ L_k$

$$\frac{\Gamma, x \ T, \dots, x_k \ T_k, \Delta, n \ T \ t \ U}{\Gamma, \Delta \ \lambda (x \ T, \dots, x_k \ T_k \ t \ T.l)}$$

For ure — u es<sub>i</sub> or u e ent  $\Gamma, \Delta \ M \ T.l$  when  $T = \dots, l \ (T, \dots, T_k \ U, \dots \ \text{and} \ T.l \ \text{is the record l se ecte } i \ \text{ro } T$

$$\frac{\Gamma, \Delta \ v \ T \quad \Gamma, \Delta \ v \ T}{\Gamma, \Delta \ \text{if } v = v \ \text{then } e \ \text{else } e \ T}$$

$$\frac{\Gamma, \Delta \ v \ \dots, l \ (T, \dots, T_k \ T, \dots \quad \Gamma, \Delta \ v \ T \ \dots \ \Gamma, \Delta \ v_k \ T_k}{\Gamma, \Delta \ v.l(v, \dots, v_k \ T} \quad \frac{\Gamma, \Delta \ n \ T \quad \Gamma, \Delta \ M \ T.l}{\Gamma, \Delta \ n.l \ M \ T}$$

$$\frac{\Gamma, \Delta \ \text{new} \ T}{\Gamma, \Delta \ \text{new} \ T} \quad \frac{\Gamma, \Delta \ t \ T}{\Gamma, \Delta \ \text{new} \ t \ \text{thread}} \quad \frac{\Gamma, \Delta \ \text{currentthread} \ \text{thread}}{\Gamma, \Delta \ \text{currentthread} \ \text{thread}}$$

$$\frac{\Gamma, \Delta \ e \ T \quad \Gamma, x \ T, \Delta \ t \ T}{\Gamma, \Delta \ \text{let } x \ T = e \ \text{in } t \ T} \quad \frac{\Gamma, \Delta \ \text{stop} \ T}{\Gamma, \Delta \ \text{stop} \ T} \quad \frac{\Gamma, x \ T, \Gamma, \Delta \ x \ T}{\Gamma, \Delta, n \ T, \Delta \ x \ T} \quad \frac{\Gamma, \Delta, n \ T, \Delta \ n \ T}{\Gamma, \Delta, n \ T, \Delta \ n \ T}$$

For ure — u es<sub>i</sub> or u e ent  $\Gamma, \Delta \ e \ T$

var.ab e contexts  $\Gamma = x \ T, \dots, x \ T$  a e contexts  $\Delta, \Theta, \Sigma, \Phi = n \ T, \dots, n \ T$

In var.ab e contexts var.ab es ust be un.que an are v.ewe up to reor er.n !  
In na e contexts na es ust be un.que types ust not be none an are v.ewe up to reor er.n !

For ure — yntax o<sub>i</sub> na e an var.ab e contexts

Whenever  $\Delta \vdash C \in \Theta$  contains a subexpression of the form  $n$  or  $n$  appears in  $\Theta$

As a sentence to capture the common software engineering requirement that you do not export mutable state, instead they should export state and get an accessor. The configurations  $C$  and  $C'$  above are write-close since they update a component which writes directly to  $p$ .contents is not write-close.

$C \rightarrow_n C'$  let  $x = p$ .contents in  $p$ .contents =  $x$  ; stop

For the remainder of the paper we will require components to be write-close. We will use a fully abstract semantics such as per since we do not need to write directly.

### 3.3 Dynamic semantics

The dynamic semantics for our concurrent object calculus is given in Figures 3.1 and 3.2. The three relations between components

- structural congruence represents the least congruence on components which satisfies the axioms in Figure 3.1
- $C \rightarrow C'$  when  $C$  can reduce to  $C'$  by the interaction of a thread and an object either by a call or a return update
- $C \rightarrow^\beta C'$  when  $C$  can reduce to  $C'$  by a thread action in dependent L. The name

$t$

$C \quad \nu(n \ T \ .C) \quad \nu(n \ T \ .(C \ C) \quad \nu(n \ T \ .\nu(n \ T \ .C) \quad \nu(n \ T \ .\nu(n \ T \ .C)$

Here are Axioms for structural congruence where  $n$  is not free in  $C$

~~$n \ let \ x \ T = v \ in \ t \quad \beta \quad n \ t \ v/x$~~

~~$n \ let \ x \ T = (\let \ x \ T = e \ in \ e) \ in \ t \quad \beta \quad n \ let \ x \ T = e \ in (\let \ x \ T = e \ in \ t)$~~

~~$n \ let \ x \ T = (\text{if } v = v \text{ then } e \text{ else } e) \ in \ t \quad \beta \quad n \ let \ x \ T = e \ in \ t$~~

~~$n \ let \ x \ T = (\text{if } v = v \text{ then } e \text{ else } e) \ in \ t \quad \beta \quad n \ let \ x \ T = e \ in \ t$~~

~~$n \ let \ x \ T = \text{new } O \ in \ t \quad \beta \quad \nu(p \ T \ .(p \ O \ n \ let \ x \ T = p \ in \ t)$~~

$v = v$   
 ~~$2e$~~

. **Testing preorder**

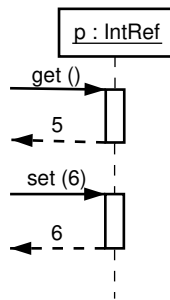
we now need the test semantics for our concurrent object calculus. We do this by defining a notion of *barb*

~~( $\Delta, n$  thread - C~~

then where  $C$  (v s e ne n ect.on | we have

- (  $C \text{ ( } \Theta$   
 $\frac{\lambda(n \text{ thread } .n \text{ call } p.\text{get}(\ ?$
- (  $C \text{ ( } n \text{ let } x = p.\text{get}(\ \text{in return } x \text{ } \Theta$
- (  $C \text{ ( } n \text{ return } \text{ } \Theta$   
 $\frac{n \text{ return}}$
- (  $C \text{ ( } n \text{ block } \text{ } \Theta$   
 $\frac{n \text{ call } p.\text{set}(\ ?$
- (  $C \text{ ( } n \text{ let } x = p.\text{set}(\ \text{in return } x \text{ } \Theta$
- (  $C \text{ ( } n \text{ return } \text{ } \Theta$   
 $\frac{n \text{ return}}$
- (  $C \text{ ( } n \text{ block } \text{ } \Theta$

where  $C$  corresponds to the interaction as follows



For any component  $(\Delta - C - \Theta$  we define its traces to be

$$\text{Traces}(\Delta - C - \Theta) = \{s \mid (\Delta - C - \Theta) \stackrel{s}{=} (\Delta - C) \dots \}$$

the base and the other would have been reached by the component and test actually interacting. This operation of merging is done below.

### • The merge operator

Define the partial merge operator  $C \bowtie C$  on components as the symmetric operator defined up to where

$$\begin{aligned} (v) C \bowtie C &= C \\ (\forall p, T) (p, T) \cdot C \bowtie C &= (\forall p, T) (C \bowtie C) \\ (p) O \cdot C \bowtie C &= p) O \cdot (C \bowtie C) \\ (p) t \cdot C \bowtie C &= p) t \cdot (C \bowtie C) \\ (n) t \cdot C \bowtie (n) t \cdot C &= n) t \cdot (C \bowtie C) \end{aligned}$$

when  $n \in \text{dom}(C, C)$  and  $p \in \text{fn}(C)$ . The notation and the partial merge operator  $t \bowtie t$  on traces is as the symmetric operator where

$$\begin{aligned} (\text{let } x = T = \text{block in } t \bowtie \text{stop} &= \text{stop} \\ (\text{let } x = T = \text{block in } t \bowtie (\text{let } y = U = \text{return}(y = T \text{ in } t) &= (\text{let } y = U = \text{block in } t \bowtie (t \text{ v}/x \\ (\text{let } x = T = \text{block in } t \bowtie (\text{let } y = U = e \text{ in } t) &= \text{let } y = U = e \text{ in } ((\text{let } x = T = \text{block in } t \bowtie t \end{aligned}$$

when  $e$  is basic, return-free and  $y \in \text{fv}(t)$ .

**Lemma** . If  $\Delta \vdash C \subseteq \Theta$  then  $(C \bowtie C) \subseteq (C \bowtie C)$ .

**Proof** An induction on the definition of  $C \bowtie C$ . □

**Lemma** . If  $C \bowtie C \subseteq C$  and  $C \subseteq b$  then  $C \subseteq b$ .

**Proof** An induction on the definition of  $C \bowtie C$ . □

### • Trace composition and decomposition

Given a trace  $s$  we write  $s_i$  for the componentary trace

$$\varepsilon = \varepsilon C \quad \text{An} \quad \forall \text{BI IMtrue} \quad \text{H, B, C, ID, E, Ie been}$$

**Proof** Given in Appendix A □

**Corollary .** For any components  $(\Delta, \Phi \dashv C \dashv \Theta, \Sigma$  and  $(\Theta, \Phi \dashv C \dashv \Delta, \Sigma$  such that  $C \approx C' \dashv C''$  and  $C' \dashv b$  then there exists some trace  $s$  such that  $(\Delta, \Phi \dashv C \dashv \Theta, \Sigma \xrightarrow{s} (\Delta, \Phi \dashv C' \dashv \Theta, \Sigma$  and  $(\Theta, \Phi \dashv C \dashv \Delta, \Sigma \xrightarrow{s} (\Theta, \Phi \dashv C'' \dashv \Delta, \Sigma$  where either  $C' \dashv b$  or  $C'' \dashv b$ .

**Proof** We now trace at  $C' \dashv b$  with respect to  $s$  using trace at  $C \dashv C'$  or so we can successively trace at  $C' \dashv b$  we use proposition 1 part 1 to obtain a trace  $s$  successively at

$$\begin{aligned} (\Delta, \Phi \dashv C \dashv \Theta, \Sigma &\xrightarrow{s} (\Delta, \Phi \dashv C' \dashv \Theta, \Sigma \\ (\Theta, \Phi \dashv C \dashv \Delta, \Sigma &\xrightarrow{s} (\Theta, \Phi \dashv C'' \dashv \Delta, \Sigma \end{aligned}$$

where  $v(\Delta, \Theta, \Sigma \dashv \Delta, \Theta, \Sigma) \dashv (C \approx C' \dashv C'')$ . Given trace at  $C' \dashv b$  we now trace at  $(C \approx C' \dashv b)$  as well. By the definition of  $\approx$  we see that one of the following or their symmetric counterparts must hold

- $C' \dashv b$  and we are done
- $C \dashv v(\Delta, \Theta, \Sigma) \dashv (n \text{ t } C' \dashv b)$  and  $C \dashv v(\Delta, \Theta, \Sigma) \dashv (n \text{ t } C' \dashv b)$  where  $n \text{ t } \approx t \dashv b'$  we now proceed by an induction on the definition of  $t \approx t'$  to show that for a successively  $C' \dashv b$  and  $C$  we can find  $s$  where

$$\begin{aligned} (\Delta, \Phi \dashv C \dashv \Theta, \Sigma &\xrightarrow{s} (\Delta, \Phi \dashv C' \dashv \Theta, \Sigma \\ (\Theta, \Phi \dashv C \dashv \Delta, \Sigma &\xrightarrow{s} (\Theta, \Phi \dashv C' \dashv \Delta, \Sigma \end{aligned}$$

and either  $C' \dashv b$  or  $C' \dashv b'$  there are two cases up to symmetry

- If  $t = \text{let } x \dashv T = \text{block in } t$  and  $t' = \text{let } y \dashv U = b \dashv \text{succ}( \dashv \text{in } t \dashv \text{then } C' \dashv b'$
- If  $t = \text{let } x \dashv T = \text{block in } t$  and  $t' = \text{let } y \dashv U = \text{return}(v \dashv T \dashv \text{in } t \dashv \text{then we have}$

$$\begin{aligned} (\Delta, \Phi \dashv C \dashv \Theta, \Sigma &\xrightarrow{v(\Delta, n \text{ return } v)} (\Delta, \Delta, \Phi \dashv v(\Delta, n \text{ t } v/x \dashv C' \dashv \Theta, \Sigma \\ (\Theta, \Phi \dashv C \dashv \Delta, \Sigma &\xrightarrow{v(\Delta, n \text{ return } v)} (\Theta, \Phi \dashv v(\Delta, n \text{ let } y \dashv U = \text{block in } t \dashv C' \dashv \Delta, \Delta, \Sigma \end{aligned}$$

where  $\Delta = (\Delta, \Delta)$  and moreover

$$n \text{ t } \approx t \dashv n \text{ (let } y \dashv U = \text{block in } t \dashv \approx t \dashv v/x \dashv b)$$

so by an inductive hypothesis

$$\begin{aligned} (\Delta, \Phi \dashv C \dashv \Theta, \Sigma &\xrightarrow{v(\Delta, n \text{ return } v)} \xrightarrow{s} (\Delta, \Phi \dashv C' \dashv \Theta, \Sigma \\ (\Theta, \Phi \dashv C \dashv \Delta, \Sigma &\xrightarrow{v(\Delta, n \text{ return } v)} \xrightarrow{s} (\Theta, \Phi \dashv C' \dashv \Delta, \Sigma \end{aligned}$$

and either  $C' \dashv b$  or  $C' \dashv b'$  as required □



### § Proof of soundness

**Theorem 1. (Soundness of traces for may testing)** *If*  $\text{Traces}(\Delta \dashv\!\!\!-\! \mathcal{C} \dashv\!\!\!-\! \Theta) = \text{Traces}(\Delta \dashv\!\!\!-\! \mathcal{C} \dashv\!\!\!-\! \Theta)$  *then*  $\Delta \models \mathcal{C} \sqsubseteq_{\text{may}} \mathcal{C} \dashv\!\!\!-\! \Theta$

**Proof** suppose  $t$  at  $\text{Traces}(\Delta \dashv\!\!\!-\! \mathcal{C} \dashv\!\!\!-\! \Theta) \neq \text{Traces}(\Delta \dashv\!\!\!-\! \mathcal{C} \dashv\!\!\!-\! \Theta)$  and  $t$  at we have  $(\Theta, b \text{ barb } \mathcal{C} \dashv\!\!\!-\! \Delta)$  such that at  $(\mathcal{C} \dashv\!\!\!-\! \mathcal{C} \dashv\!\!\!-\! b)$ , we must show that  $(\mathcal{C} \dashv\!\!\!-\! \mathcal{C} \dashv\!\!\!-\! b)$  is a so  
 now since  $(\mathcal{C} \dashv\!\!\!-\! \mathcal{C} \dashv\!\!\!-\! b)$  we can use Corollary 1 to get

$$\begin{aligned} (\Delta, b \text{ barb } \mathcal{C} \dashv\!\!\!-\! \Theta) &\stackrel{s}{=} (\Delta, b \text{ barb } \mathcal{C} \dashv\!\!\!-\! \Theta, \Sigma) \\ (\Theta, b \text{ barb } \mathcal{C} \dashv\!\!\!-\! \Delta) &\stackrel{s}{=} (\Theta, b \text{ barb } \mathcal{C} \dashv\!\!\!-\! \Delta, \Sigma) \end{aligned}$$

$\Delta - \epsilon$  trace  $\Theta$

*n*

- $\mathbb{I}_{\vec{r}} n \text{ threads } (s \text{ t}^{\dagger} \text{en } n \text{ s ba ance } \Delta n s$
- $\mathbb{I}_{\vec{r}} n \text{ s ba ance } \Delta n s \text{ an } s \text{ t}^{\dagger} \text{en } n \text{ s ba ance } \Delta n s s \dagger$
- $\mathbb{I}_{\vec{r}} n \text{ s ba ance } \Delta n s \text{ t}^{\dagger} \text{en } n \text{ s ba ance } \Delta n v(\Delta . n \text{ call } p.l(\vec{n} \text{ ? } s v(\Theta . n \text{ return } v \text{ ?$
- $\mathbb{I}_{\vec{r}} n \text{ s ba ance } \Delta n s \text{ t}^{\dagger} \text{en } n \text{ s ba ance } \Delta n v(\Theta . n \text{ call } p.l(\vec{n} \text{ ? } s v(\Delta . n \text{ return } v \text{ ?$

De ne  $\text{popn}(s) =$

- $\mathbb{I}_{\vec{r}} n \text{ s ba ance } \Delta n s \text{ t}^{\dagger} \text{en } \text{popn}(s) = \dagger$
- $\mathbb{I}_{\vec{r}} n \text{ s ba ance } \Delta n s \text{ an } a =$

**Proof** Easy induction on  $s$

□

**Lemma 3.1**

1. If  $C$  is block/return free and  $(\Delta - C - \Theta \stackrel{s}{=} \frac{v(\Theta . n \text{ return } v)}{\text{}})$  then  $s = s \ v(\Delta . n \text{ call } p.l(\vec{v}) \ ? s)$  where  $n$  is balanced in  $s$ .
2. If  $C$  is block/return free and  $(\Delta - C - \Theta \stackrel{s}{=} \frac{v(\Delta . n \text{ return } v \ ?)}{\text{}})$  then  $s = s \ v(\Theta . n \text{ call } p.l(\vec{v}) \ s)$  where  $n$  is balanced in  $s$ .

**Proof** We prove these properties simultaneously by an induction on the length of  $s$ . We only show the return part as the call part can be shown in a similar manner. By analysis of the rules of the ts we have

$$(\Delta - C - \Theta \stackrel{s}{=} (\Delta - C - n \text{ let } x = T = \text{return}(v \ U \ \text{in } t - \Theta \ \frac{v(\Theta . n \text{ return } v)}{\text{}}))$$

now partition  $s$  into  $s \ s \ p.c \ \text{in } s$

**Case**  $s = s \text{ v}(\Delta . n \text{ call } p.l(\vec{v} \ ?) \ e \text{ now } t^{\dagger}$  at

$$(\Delta - C \ \Theta \stackrel{s}{=} (\Delta, \Delta(s) - C \ \Theta, \Theta(s) \ \underline{\text{v}(\Delta . n \text{ call } p.l(\vec{v} \ ?)})$$

so we have  $t^{\dagger}$  at  $e$  after

$$C \ \text{v}(\Delta . \text{v}(\Delta . n \text{ let } x \ T = \text{block in } t \ C$$

or  $n \ \Delta, \Delta(s)$  and  $n \ s$  are  $t^{\dagger}$  reachable to  $s$  we can apply the inductive hypotheses to  $s$  to see  $t^{\dagger}$  at  $\Delta - s$  trace  $\Theta$  and we consider  $\text{popn}(s \ \vec{n} \ \Delta, \Delta(s)$  and  $n \ s$  are  $t^{\dagger}$  reachable to  $s$  then  $\text{popn}(s \ \vec{n})$  necessarily ! otherwise we now  $t^{\dagger}$  at  $C \ \text{v}(\Delta . \text{v}(\Delta . n \text{ let } x \ T = \text{block in } t \ C$  and therefore the last action which could have occurred at  $n$  must have been an output  $t^{\dagger}$  at  $s \ \text{popn}(s \ \vec{n}) = \gamma$  ! In both cases we see  $t^{\dagger}$  at

$$n \ s \ \text{input enab } e \ \text{in } \Delta - s \ \text{trace } \Theta$$

$e$  now  $t^{\dagger}$  at  $(\Delta, \Delta(s) - C \ \Theta, \Theta(s) \ \underline{\text{v}(\Delta . n \text{ call } p.l(\vec{v} \ ?)})$  and we now  $t^{\dagger}$  at  $t^{\dagger}$  the same conditions on the transition rule  $e_i$  or  $\text{v}(\Delta . \gamma)$  actions guarantees  $t^{\dagger}$  at

$$\text{dom}(\Delta \ \text{fn}(\vec{v}))$$

and so now  $t^{\dagger}$  at  $t^{\dagger}$  the same conditions on rule  $e_i$  or call input actions guarantees  $t^{\dagger}$  at

$$, \Delta, \Delta(s) \ , \Theta, \Theta(s) \ , \Delta \ \text{p.l}(\vec{v} \ T \ \text{and } p \ \Theta, \Theta(s)$$

we use  $t^{\dagger}$  to see  $t^{\dagger}$  at

$$, \Theta, \Theta(s) \ , \Delta \ \text{p.l}(\vec{T} \ T$$

and

$$, \Delta, \Delta(s) \ , \Theta, \Theta(s) \ , \Delta \ \vec{v} \ \vec{T}$$

Lastly it is easy to see  $t^{\dagger}$  at

$$, \Delta, \Delta(s) \ , \Theta, \Theta(s) \ , \Delta \ \text{-- } n \ \text{thread}$$

we collect the state elements to either to see  $t^{\dagger}$  at  $t^{\dagger}$  or the hypotheses of the type rule which allows us to conclude

$$\Delta \ s \ \text{v}(\Delta . n \ \text{call } p.l(\vec{v} \ ?) \ \text{trace } \Theta$$

as required !

**Case**  $s = s \ \text{v}(\Theta . n \ \text{call } p.l(\vec{v} \ ?) \ \text{---}$  as to previous case

**Case**  $s = s \ \text{v}(\Theta . n \ \text{return } v \ ?) \ e \text{ now } t^{\dagger}$  at

$$(\Delta \ C$$

$$\Delta \xrightarrow{s} \text{trace } \Theta$$

and we notice that because  $C$  is a  $\text{return } v$  we can apply Lemma 1 to get

$$s = s \cdot v(\Delta \cdot n \text{ call } p.l(\vec{v} \ ? s$$

where  $n$  is a  $\text{call } p.l(\vec{v} \ ? s$ . Given that we see that

$$\text{popn}(s \cdot v(\Delta \cdot n \text{ call } p.l(\vec{v} \ ? s) = v(\Delta \cdot n \text{ call } p.l(\vec{v} \ ?$$

hence

$$\text{popn}(s) = v(\Delta \cdot n \text{ call } p.l(\vec{v} \ ?$$

And the side conditions on the transition rule for  $v(\Theta \cdot \gamma)$  guarantee that

$$\text{dom}(\Theta) \cap \text{fn}(v$$

is empty so now by induction we act that prefixes of type traces are also type that

$$\Delta \xrightarrow{s} v(\Delta \cdot n \text{ call } p.l(\vec{v} \ ? \text{ trace } \Theta$$

and we see that that must have been an error in a hypothesis

$$, \Theta, \Theta(s \xrightarrow{p} \dots (\vec{U} \ U \dots$$

which by weakening gives us

$$, \Theta, \Theta(s \xrightarrow{p} \dots (\vec{U} \ U \dots$$

Lastly because

$$(\Delta, \Delta(s \xrightarrow{C} \Theta, \Theta(s$$

and

$$C \xrightarrow{n} \text{let } x = T \text{ return } (v \ U \text{ in } t$$

we see that

$$, \Delta, \Delta(s \ , \Theta, \Theta(s \ , \Theta \xrightarrow{v} U$$

so by Lemma 1 to either with the typing side conditions or call input transitions we have that  $U = U$  and so

$$, \Delta, \Delta(s \ , \Theta, \Theta(s \ , \Theta \xrightarrow{v} U$$

we connect the state transitions to either to see that they or the hypotheses of the type rule which allows us to conclude

$$\Delta \xrightarrow{s} v(\Theta \cdot n \text{ return } v \text{ trace } \Theta$$

as required

**Case**  $s = s \cdot v(\Delta \cdot n \text{ return } v \ ?$  refer to previous case

□

**Information order on traces**

The information preorder on traces  $\Delta \rightarrow_S \text{trace } \Theta$  is generated by axioms where in each case we require both sides of the equation to be well type traces

$$\begin{aligned} & \Delta \rightarrow_S \rightarrow_S \text{trace } \Theta \\ & \Delta \rightarrow_S \gamma \rightarrow_S \text{trace } \Theta \\ & \Delta \rightarrow_S \gamma \rightarrow \gamma \rightarrow r \rightarrow_S \gamma \rightarrow \gamma \rightarrow r \text{trace } \Theta \\ & \Delta \rightarrow_S \text{sv}(\Delta \rightarrow \gamma \rightarrow \gamma \rightarrow r \rightarrow_S \text{sv}(\Delta \rightarrow \gamma \rightarrow \gamma \rightarrow r \text{trace } \Theta \\ & \Delta \rightarrow_S \text{sv}(\Theta \rightarrow \gamma \rightarrow \gamma \rightarrow r \rightarrow_S \text{sv}(\Theta \rightarrow \gamma \rightarrow \gamma \rightarrow r \text{trace } \Theta \end{aligned}$$

**Lemma . (Information Order Duality)** *If  $\Delta \rightarrow_S \gamma \rightarrow_S \text{trace } \Theta$  and  $\text{fn}(\gamma \rightarrow \Theta(r = 0)$  and  $\gamma \rightarrow_S, r$  then  $\Theta \rightarrow_S \rightarrow_S \text{trace } \Delta$ .*

**Proof** We write  $\Delta \rightarrow_S \rightarrow_S \text{trace } \Theta \rightarrow_S \Delta \rightarrow_S \text{trace } \Theta$





**Proposition . (Information Order Closure)** *If  $(\Delta \rightarrow_C \Theta \stackrel{s}{=} \Delta)$  and  $\Delta \rightarrow_s \text{trace } \Theta$  then  $(\Delta \rightarrow_C \Theta \stackrel{r}{=} \Delta)$ .*

**Proof** . Now that the following lemmas can be completed when  $\text{thread}(\gamma) = \text{thread}(\gamma)$ .

$\text{Comp}(\Delta \xrightarrow{s} \text{trace } \Theta = v(\Theta(s), \text{ref} \text{ Ref}, \text{state}_\varepsilon \text{ State} \cdot ($   
 $\text{ref val} = \text{state}_\varepsilon$   
 $\text{state}_\varepsilon \text{ State}(\Delta \xrightarrow{\varepsilon} \text{trace } \Theta$   
 $\prod \{ p.l_i = \text{ref.val.inCall}_{p.l_i} L_i \mid i = \dots n \} \cup \{ p.l_i = L_i \mid i = \dots n \} \cup \{ \Theta, \Theta(s) \}$   
 $\prod \{ n \text{ ref.val.out}_{\text{none}}(\text{thread } \Theta, \Theta(s)) \}$

$\text{Ref} = \text{val} \text{ State}$

$\text{State} = \text{out}_T(\text{ } T, \text{inReturn}_T(\text{ } T, \text{inCall}_{p.l} L$

$\text{State}(\Delta \xrightarrow{r} \text{trace } \Theta = ($   
 $\text{out}_T = \text{Out}_T(\Delta \xrightarrow{r} \text{trace } \Theta ,$   
 $\text{inReturn}_T = \text{InReturn}_T(\Delta \xrightarrow{r} \text{trace } \Theta ,$   
 $\text{inCall}_{p.l} = \text{InCall}_{p.l}(\Delta \xrightarrow{r} \text{trace } \Theta$

$\text{Out}_T(\Delta \xrightarrow{r} \text{trace } \Theta = \lambda( \cdot ($   
 $\text{when } ra \text{ } s \text{ an } a = v(\Theta \cdot n \text{ call } p.l(\vec{v} \text{ an } \Delta, \Theta, \Delta(r), \Theta(r), \Theta \cdot p.l(\vec{v}) \text{ } U$   
 $\text{if currentthread} = n \text{ then}$   
 $\text{ref.val} = \text{new State}(\Delta \xrightarrow{ra} \text{trace } \Theta ,$   
 $\text{ref.val.inReturn}_U(p.l(\vec{v} ,$   
 $\text{ref.val.out}_T($   
 $\text{when } ra \text{ } s \text{ an } a = v(\Theta \cdot n \text{ return } v \text{ an } \Delta, \Theta, \Delta(r), \Theta(r), \Theta \cdot v \text{ } T$   
 $\text{if currentthread} = n \text{ then}$   
 $\text{ref.val} = \text{new State}(\Delta \xrightarrow{ra} \text{trace } \Theta ,$   
 $v$   
 $\text{otherwise}$   
 $\text{stop}$

$\text{InReturn}_T(\Delta \xrightarrow{r} \text{trace } \Theta = \lambda(x \text{ } T \cdot ($   
 $\text{when } ra \text{ } s \text{ an } a = v(\Delta \cdot n \text{ return } v \text{ } \Delta, \Theta, \Delta(r), \Theta(r), \Delta \cdot v \text{ } T$   
 $\text{if } \Delta, \Theta, \Delta(r), \Theta(r) \text{ (currentthread, } x = v(\Delta \cdot (n, v) \text{ then}$   
 $\text{ref.val} = \text{new State}(\Delta \xrightarrow{ra} \text{trace } \Theta ,$   
 $v$   
 $\text{otherwise}$   
 $\text{stop}$

$\text{InCall}_{p.l}(\vec{T})_T(\Delta \xrightarrow{r} \text{trace } \Theta = \lambda(\vec{x} \text{ } \vec{T} \cdot ($   
 $\text{when } ra \text{ } s \text{ an } a = v(\Delta \cdot n \text{ call } p.l(\vec{v} \text{ } \Delta, \Theta, \Delta(r), \Theta(r), \Delta \cdot \vec{v} \text{ } \vec{T}$   
 $\text{if } \Delta, \Theta, \Delta(r), \Theta(r) \text{ (currentthread, } \vec{x} = v(\Delta \cdot (n, \vec{v}) \text{ then}$   
 $\text{ref.val} = \text{new State}(\Delta \xrightarrow{ra} \text{trace } \Theta ,$   
 $\text{ref.val.out}_T($   
 $\text{otherwise}$   
 $\text{stop}$

Figure — Definition of  $\text{Comp}(\Delta \xrightarrow{s} \text{trace } \Theta$

if  $\Delta (v, \vec{v} = v(p, U, \vec{n}, \vec{T}) \cdot (p, \vec{p})$  then  $t = t$   
 if  $\Delta (v, \vec{v} = v(p, U, \vec{n}, \vec{T}) \cdot (p, \vec{p})$  then  $t =$  if  $v \Delta^- (U$  then  
 (if  $\Delta_{\vec{p}} U (\vec{v} = v(\vec{n}, \vec{T}) \cdot (\vec{p})$  then  $t = v/p$  else stop  
 if  $\Delta (v, \vec{v}$



• **Proof of completeness**

**Theorem 1.1 (Completeness of traces for may testing)** *If  $\Delta \models C \sqsubseteq_{may} C' \ominus$  then  $\text{Traces}(\Delta \vdash C \ominus) = \text{Traces}(\Delta \vdash C' \ominus)$ .*

**Proof** Choose any trace  $s \in \text{Traces}(\Delta \vdash C' \ominus)$ . ( = ( ( ( (



1. If  $C \cong C \oplus D \oplus E$  then there exist components such that  $C \cong D \oplus E$  and  $C \cong D \oplus E$  with  $D \oplus D \cong D$  and  $E \oplus E \cong E$ .

2. If  $C \cong C \oplus \nu(\vec{n}, \vec{T}) \cdot C$  then there exist components such that  $C \cong \nu(\vec{n}, \vec{T}) \cdot C$  and  $C \cong \nu(\vec{n}, \vec{T}) \cdot C$  with  $(\vec{n}, \vec{T}) = (\vec{n}, \vec{T}) \oplus (\vec{n}, \vec{T})$  and  $C \cong C \oplus C$ .

**Proof** proved by induction on the evaluation of  $C \cong C$ . □

**Lemma A.** If  $C \cong C \oplus C$  and  $C \cong C^{\beta} \oplus C^{\beta}$

- **Case**  $(\gamma = \nu(\vec{n} \vec{T} . n \text{ call } p.l(\vec{v} \text{ an } n \Sigma))$   
 as to the previous case

- **Case**  $(\gamma = \nu(\vec{n} \vec{T} . n \text{ return } v))$

since  $(\Delta, \Phi \vdash_C \Theta, \Sigma \stackrel{\gamma}{\sim} (\Delta, \Phi \vdash_C \Theta, \Sigma)$  we must have that

$$\begin{aligned} C & \vdash(\vec{p} \vec{U} . (C \text{ n let } x \ T = \text{block in } t \\ C & \vdash(\vec{p} \vec{U} . (C \text{ n } t \ v/x \\ \Delta & = \Delta, \vec{n} \vec{T} \\ \Theta & = \Theta \\ \Sigma & = \Sigma \end{aligned}$$

since  $(\Theta, \Phi \vdash_C \Delta, \Sigma \stackrel{\gamma}{\sim} (\Theta, \Phi \vdash_C \Delta, \Sigma)$  we must have that

$$\begin{aligned} C & \vdash(\vec{n} \vec{T} . \nu(\vec{p} \vec{U} . (C \text{ n let } y \ U = \text{return}(v \ T \text{ in } t \\ C & \vdash(\vec{p} \vec{U} . (C \text{ n let } y \ U = \text{block in } t \end{aligned}$$

we then show that

$$C \ \& \ C \ \vdash(\vec{n} \vec{T} . \nu(\vec{p} \vec{U} . \nu(\vec{p} \vec{U} . ((C \ \& \ C \ \text{ n let } y \ U = \text{block in } t \ \& \ (t \ v/x \text{ an } t \text{ at}$$

$$C \ \& \ C \ \vdash(\vec{p} \vec{U} . \nu(\vec{p} \vec{U} . ((C \ \& \ C \ \text{ n let } y \ U = \text{block in } t \ \& \ (t \ v/x$$

and so

$$\nu(\Delta, \Theta, \Sigma \setminus \Delta, \Theta, \Sigma) . (C \ \& \ C \ \vdash_C$$

as required □

Composition follows by induction on the derivation of  $(\Delta, \Phi \vdash_C \Theta, \Sigma \stackrel{s}{\sim} (\Delta, \Phi \vdash_C \Theta, \Sigma)$  and  $(\Theta, \Phi \vdash_C \Delta, \Sigma \stackrel{s}{\sim} (\Theta, \Phi \vdash_C \Delta, \Sigma)$  a use of Lemma A.1 and A.2

## A. Decomposition

we show the result as follows by decomposition

**Lemma A.** For any  $\Delta, \Phi \vdash_C \Theta, \Sigma$  and  $\Theta, \Phi \vdash_C \Delta, \Sigma$  if  $(C \ \& \ C \ \vdash(\vec{n} \vec{T} . (C \ \text{ n let } x \ T = e \text{ in } t)$  then either we have:

$$\begin{aligned} (\Delta, \Phi \vdash_C \Theta, \Sigma \stackrel{s}{\sim} (\Delta, \Phi \vdash(\vec{n} \vec{T} . (C \ \text{ n let } x \ T = e \text{ in } t \ \Theta, \Sigma \\ (\Theta, \Phi \vdash_C \Delta, \Sigma \stackrel{s}{\sim} (\Theta, \Phi \vdash_C \Delta, \Sigma \end{aligned}$$

where:

$$\nu(\Delta, \Theta, \Sigma \setminus \Delta, \Theta, \Sigma) . \nu(\vec{n} \vec{T} . (C \ \text{ n } t \ \& \ C \ \vdash(\vec{n} \vec{T} . (C \ \text{ n } t$$

or symmetrically, swapping the roles of  $C$  and  $C$ .



**Proof** An induction on the derivation of

$$(C \Vdash C \quad v(\vec{n} \vec{T}) . (C \ n \text{ let } x \ T = e \text{ in } t$$

the interesting cases when

$$\begin{aligned} C \quad n \text{ let } x \ T = \text{block in } t \\ C \quad n \text{ let } x \ T = \text{return}(v \ T \text{ in } t \end{aligned}$$

and

$$n \ t \ v/x \Vdash n \text{ let } x \ T = \text{block in } t \quad v(\vec{n} \vec{T}) . (C \ n \text{ let } x \ T = e \text{ in } t$$

so by induction on the ts and by induction we have

$$\begin{aligned} (\Delta, \Phi \vdash C \ \Theta, \Sigma \xrightarrow{n \text{ return } v} (\Delta, \Phi \ n \ t \ v/x \ \Theta, \Sigma \\ (\Delta, \Phi \ n \ t \ v/x \ \Theta, \Sigma \stackrel{s}{=} (\Delta, \Phi \ v(\vec{n} \vec{T}) . (C \ n \text{ let } x \ T = e \text{ in } t \ \Theta, \Sigma \end{aligned}$$

and

$$\begin{aligned} (\Delta, \Phi \vdash C \ \Theta, \Sigma \xrightarrow{n \text{ return } v} (\Theta, \Phi \ n \text{ let } x \ T = \text{block in } t \ \Delta, \Sigma \\ (\Theta, \Phi \ n \text{ let } x \ T = \text{block in } t \ \Delta, \Sigma \stackrel{s}{=} (\Theta, \Phi \vdash C \ \Delta, \Sigma \end{aligned}$$

where

$$v(\Delta, \Theta, \Sigma \ \Delta, \Theta, \Sigma \ . v(\vec{n} \vec{T}) . (C \ n \ t \ \Vdash C \ v(\vec{n} \vec{T}) . (C \ n \ t$$

or symmetrically as required. □

**Lemma A.** If  $C \Vdash C \quad C$  and  $C \dashv^{\beta} 1$

and so we use the axiom to get

$$(\Delta, \Phi \vdash C \vdash \Theta, \Sigma \stackrel{s}{=} (\Delta, \Phi \vdash C \vdash \Theta, \Sigma$$

where we define

$$C \vdash (\vec{n} \vdash \vec{T}, \vec{n} \vdash \vec{T}) \text{ . } (C \vdash E \vdash n \text{ let } \vec{x} \vdash \vec{T} = \vec{e} \text{ in } t$$

- Case  $(p \text{ dom}(C), n \text{ dom}(C))$   
 we must have that

$$C = \nu(\vec{p}. \vec{U}). (C \text{ } p \text{ } O \text{ } n \text{ let } y \text{ } U = \text{block in } t$$

Moreover since  $C$  is write close we must have that the axioms

$$p \text{ } O \text{ } n \text{ let } x \text{ } T = p.l(\vec{v} \text{ in } t) \quad p \text{ } O \text{ } n \text{ let } x \text{ } T = O.l(p(\vec{v} \text{ in } t$$

in which case

$$(\Delta, \Phi \text{ } C \text{ } \Theta, \Sigma \xrightarrow{s \nu(\vec{n}. \vec{T}. n \text{ call } p.l(\vec{v}} (\Delta, \Phi \text{ } C \text{ } \Theta, \vec{n}. \vec{T}, \Sigma$$

where we can

$$C = \nu(\vec{n}. \vec{T}). (C \text{ } n \text{ let } x \text{ } T = \text{block in } t$$

and we partition  $\{\vec{n}. \vec{T}\}$  into  $\{\vec{n}. \vec{T}, \vec{n}. \vec{T}\}$  such that  $\{\vec{n}\} \text{ fn}(p.l(\vec{v} \text{ in } \{\vec{n}\})$   
 $\text{fn}(p.l(\vec{v} = \emptyset$

we also have

$$(\Delta, \Phi \text{ } C \text{ } \Theta, \Sigma \xrightarrow{s \nu(\vec{n}. \vec{T}. n \text{ call } p.l($$

## **B. Technical preliminaries**

In a component  $v(\Delta, (p, O, C)$

A component for  $\Delta \quad r \text{---} s \text{---} \text{trace } \Theta$  resp  $\text{ }_i$  or  $\Delta \quad q \quad r \text{---} s \text{---} \text{trace } \Theta$  is one of the following

$v(\Theta(s \setminus \Theta(q) \cdot v(\text{ref} \text{---} \text{Ref} \cdot v(\text{state}_r \text{---} \text{State} \mid \Delta \quad r \text{---} r \text{---} \text{trace } \Theta) \cdot ($   
 $\text{ref val} = \text{state}_r$   
 $\prod\{\text{state}_r \text{---} \text{State}(\Delta \quad r \text{---} s \text{---} \text{trace } \Theta \mid \Delta \quad r \text{---} r \text{---} \text{trace } \Theta)\}$   
 $\prod\{p \text{---} l_i = \text{ref.val.inCall}_{p,l_i} L_i \mid i = \dots n \mid p \text{---} l_i \text{---} L_i \mid i = \dots n \quad \Theta, \Theta(s)\}$   
 $\prod\{n \text{---} t_n \text{---} \text{thread} \quad \Theta, \Theta(s)\}$   
 $\prod\{n \text{---} t_n \text{---} \text{thread} \quad \Delta, \Delta(s \text{---} \text{an } n \text{---} \text{threads}(q))\}$

where  $t_n$  is a thread at  $n$  resp  $\text{ }_i$  or  $\Delta \quad r \text{---} s \text{---} \text{trace } \Theta$  resp  $\text{ }_i$  or  $\Delta \quad q \quad r \text{---} s \text{---} \text{trace } \Theta$

A thread at  $n$  for  $\Delta \quad r \text{---} s \text{---} \text{trace } \Theta$  is one of the following

$\mid \text{let } x \text{---} T = \text{ref.val.out}_T(\text{in } t$   
 $\text{where } n \text{---} \text{output enab } e \text{---} \text{an } \Delta \text{---} r \text{---} \text{trace } \Theta \text{ and } t \text{---} \text{a return}(x \text{---} T \text{---} \text{thread at } n$   
 $\text{resp } \text{ }_i \text{ or } \Delta \quad r \text{---} s \text{---} \text{trace } \Theta$   
 $\mid \text{let } x \text{---} T = \text{block in } t$   
 $\text{where } n \text{---} \text{input enab } e \text{---} \text{an } \Delta \text{---} r \text{---} \text{trace } \Theta \text{ and } t \text{---} \text{a return}(x \text{---} T \text{---} \text{thread at } n$   
 $\text{resp } \text{ }_i \text{ or } \Delta \quad r \text{---} s \text{---} \text{trace } \Theta$

A return( $v \text{---} T$  thread at  $n$  for  $\Delta \quad r \text{---} s \text{---} \text{trace } \Theta$ ) is one of the following

$\mid v$   
 $\text{where } n \text{---} \text{ba nce } \text{---} \text{an } n$   
 $\mid \text{ref.val.inReturn}_T(v, t$   
 $\text{where } r = r \text{---} \text{ar } \text{---} a = v(\Theta \text{---} n \text{---} \text{call } p.l(\vec{v} \text{---} n \text{---} \text{ba nce } \text{---} \text{an } r$   
 $\text{and } t \text{---} \text{a thread at } n \text{ resp } \text{ }_i \text{ or } \Delta \quad r \text{---} s \text{---} \text{trace } \Theta$   
 $\mid \text{let } y \text{---} U = \text{return}(v \text{---} T \text{---} \text{in } t$   
 $\text{where } r = r \text{---} \text{ar } \text{---} a = v(\Theta \text{---} n \text{---} \text{call } p.l(\vec{v} \text{---} ? \text{---} n \text{---} \text{ba nce } \text{---} \text{an } r$   
 $\text{and } t \text{---} \text{a return}(y \text{---} U \text{---} \text{thread at } n \text{ resp } \text{ }_i \text{ or } \Delta \quad r \text{---} s \text{---} \text{trace } \Theta$

Figure 1. Definition of a component  $\text{ }_i$  or  $\Delta \quad r \text{---} s \text{---} \text{trace } \Theta$  and  $\text{ }_i$  or  $\Delta \quad q \quad r \text{---} s \text{---} \text{trace } \Theta$

A thread at  $n$  for  $\Delta \quad q \quad r \xrightarrow{s} \text{trace } \Theta$  is one of the following

- | stop
- | a thread at  $n_i$  or  $\Delta \quad r \xrightarrow{s} \text{trace } \Theta$   
where  $\text{proj } n(q) = \text{proj } n(r)$
- | let  $x \quad T = p.l(\vec{v})$  in  $t$   
where  $\text{proj } n(qa) = \text{proj } n(r \quad a = v(\Theta \quad .n \text{ call } p.l(\vec{v}) \quad \text{an } t \text{ s a return}(x \quad T$   
thread at  $n_i$  or  $\Delta \quad r \xrightarrow{s} \text{trace } \Theta$
- | let  $x \quad T = \text{return}(v \quad U)$  in  $t$   
where  $\text{proj } n(qa) = \text{proj } n(r \quad a = v(\Theta \quad .n \text{ return } v \quad \text{an } t \text{ s a return}(x \quad T$   
thread at  $n_i$  or  $\Delta \quad r \xrightarrow{s} \text{trace } \Theta$
- | let  $y \quad U = \text{ref.val.inCall}_{p.l.L}(\vec{v})$  in let  $x \quad T = \text{return}(y \quad U)$  in  $t$   
where  $\text{proj } n(q) = \text{proj } n(ra \quad a = v(\Delta \quad .n \text{ call } p.l(\vec{v}) \quad ? \text{ an } t \text{ s a return}(x \quad T$   
thread at  $n_i$  or  $\Delta \quad r \xrightarrow{s} \text{trace } \Theta$
- |  $t$   
where  $\text{proj } n(q) = \text{proj } n(ra \quad a = v(\Delta \quad .n \text{ return } v \quad ? \text{ an } t \text{ s a return}(v \quad T$   
thread at  $n_i$  or  $\Delta \quad r \xrightarrow{s} \text{trace } \Theta_i$  or so  $e \in T$
- |  $\text{ref.val} = \text{new State}(\Delta \quad ra \xrightarrow{s} \text{trace } \Theta \quad , t$   
where  $\text{proj } n(q) = \text{proj } n(ra \quad \text{an } t \text{ s a thread at } n_i \text{ or } \Delta \quad ra \xrightarrow{s} \text{trace } \Theta$
- |  $t$   
where  $n \quad t \xrightarrow{\beta} n \quad t$  an  $t$  s a thread at  $n_i$  or  $\Delta \quad q \quad r \xrightarrow{s} \text{trace } \Theta$

Figure 1. Definition of a thread at  $n_i$  or  $\Delta \quad q \quad r \xrightarrow{s} \text{trace } \Theta$

**Proof** An inspection of the definition of  $\text{Comp}(\Delta \text{---} s \text{---} \text{trace } \Theta)$  □

**Lemma B.** If  $\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta$  and  $\Delta \text{---} C \text{---} \Theta$  is a component for  $\Delta \text{---} r \text{---} s \text{---} \text{trace } \Theta$  then  $(\Delta \text{---} C \text{---} \Theta) \stackrel{a}{=} (\Delta \text{---} C \text{---} \Theta)$  where  $C$  is a component for  $\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta$ .

**Proof** By considering the definition of  $\Delta \text{---} r \text{---} s \text{---} \text{trace } \Theta$  we see that the following cases are exhaustive

Case  $a = v(\Theta) \text{---} n \text{---} \text{return } v$  and  $C = v(\Theta) \text{---} C \text{---} \text{ref val} = \text{state}_r \text{---} n \text{---} \text{let } y \text{---} U = \text{ref.val.out}_U(\text{ in } \text{let } x \text{---} T = \text{return}(y \text{---} U \text{ in } t)$

$\stackrel{e}{\text{have}}$

$(\Delta \text{---} C \text{---} \Theta)$

$\stackrel{\tau}{-} (\Delta \text{---} v(\Theta) \text{---} C \text{---} \text{ref val} = \text{state}_r \text{---} n \text{---} \text{let } y \text{---} U = \text{state}_r \text{---} \text{out}_U(\text{ in } \text{let } x \text{---} T = \text{return}(y \text{---} U \text{ in } t) \text{---} \Theta)$

$\stackrel{\beta}{-} (\Delta \text{---} v(\Theta) \text{---} C \text{---} \text{ref val} = \text{state}_r \text{---} n \text{---} \text{ref.val} = \text{new State}(\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta, \text{let } y \text{---} U = v \text{ in } \text{let } x \text{---} T = \text{return}(y \text{---} U \text{ in } t) \text{---} \Theta)$

$\stackrel{\tau}{-} (\Delta \text{---} v(\Theta, \text{state}_{ra} \text{---} \text{State}) \text{---} C \text{---} \text{ref val} = \text{state}_{ra} \text{---} \text{state}_{ra} \text{---} \text{State}(\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta) \text{---} n \text{---} \text{let } y \text{---} U = v \text{ in } \text{let } x \text{---} T = \text{return}(y \text{---} U \text{ in } t) \text{---} \Theta)$

$\stackrel{\beta}{-} (\Delta \text{---} v(\Theta, \text{state}_{ra} \text{---} \text{State}) \text{---} C \text{---} \text{ref val} = \text{state}_{ra} \text{---} \text{state}_{ra} \text{---} \text{State}(\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta) \text{---} n \text{---} \text{let } x \text{---} T = \text{return}(v \text{---} U \text{ in } t) \text{---} \Theta)$

$\stackrel{a}{-} (\Delta \text{---} v(\text{state}_{ra} \text{---} \text{State}) \text{---} C \text{---} \text{ref val} = \text{state}_{ra} \text{---} \text{state}_{ra} \text{---} \text{State}(\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta) \text{---} n \text{---} \text{let } x \text{---} T = \text{block in } t \text{---} \Theta, \Theta)$

with  $C$  as a component of  $\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta$  as required

Case  $a = v(\Theta) \text{---} n \text{---} \text{call } p.l(\vec{v})$  and  $C = v(\Theta) \text{---} C \text{---} \text{ref val} = \text{state}_r \text{---} n \text{---} \text{let } y \text{---} U = \text{ref.val.out}_U(\text{ in } t)$

$\stackrel{e}{\text{have}}$

$(\Delta \text{---} C \text{---} \Theta)$

$\stackrel{\tau}{-} (\Delta \text{---} v(\Theta) \text{---} C \text{---} \text{ref val} = \text{state}_r \text{---} n \text{---} \text{let } y \text{---} U = \text{state}_r \text{---} \text{out}_U(\text{ in } t) \text{---} \Theta)$

$\stackrel{\beta}{-} (\Delta \text{---} v(\Theta) \text{---} C \text{---} \text{ref val} = \text{state}_r \text{---} n \text{---} \text{ref.val} = \text{new State}(\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta, \text{let } x \text{---} T = p.l(\vec{v} \text{ in } \text{ref.val.inReturn}_T(x, \text{let } y \text{---} U = \text{ref.val.out}_U(\text{ in } t) \text{---} \Theta)$

$\stackrel{\tau}{-} (\Delta \text{---} v(\Theta, \text{state}_{ra} \text{---} \text{State}) \text{---} C \text{---} \text{ref val} = \text{state}_{ra} \text{---} \text{state}_{ra} \text{---} \text{State}(\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta) \text{---} n \text{---} \text{let } x \text{---} T = p.l(\vec{v} \text{ in } \text{ref.val.inReturn}_T(x, \text{let } y \text{---} U = \text{ref.val.out}_U(\text{ in } t) \text{---} \Theta)$

$\stackrel{a}{-} (\Delta \text{---} v(\text{state}_{ra} \text{---} \text{State}) \text{---} C \text{---} \text{ref val} = \text{state}_{ra} \text{---} \text{state}_{ra} \text{---} \text{State}(\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta) \text{---} n \text{---} \text{let } x \text{---} T = \text{block in } \text{ref.val.inReturn}_T(x, \text{let } y \text{---} U = \text{ref.val.out}_U(\text{ in } t) \text{---} \Theta, \Theta)$

with  $C$  as a component of  $\Delta \text{---} r a \text{---} s \text{---} \text{trace } \Theta$  as required

Case  $a = v(\Delta) \text{---} n \text{---} \text{return } v$  and  $C = C \text{---} \text{ref val} = \text{state}_r \text{---} n \text{---} \text{let } x \text{---} T = \text{block in } \text{ref.val.inReturn}_T(x, t)$

$c_{\text{ave}}$

$(\Delta \multimap C \Theta$

-<sup>a</sup>  $(\Delta, \Delta \quad C \text{ ref val} = \text{state}_r$   
 $n \text{ let } x \text{ } T = v \text{ in ref.val.inReturn}_T(x, t \multimap \Theta$

-<sup>\beta</sup>  $(\Delta, \Delta \quad C \text{ ref val} = \text{state}_r$   
 $n \text{ ref.val.inReturn}_T(v, t \multimap \Theta$

-<sup>\tau</sup>  $(\Delta, \Delta \quad C \text{ ref val} = \text{state}_r$   
 $n \text{ state}_r.\text{inReturn}_T(v, t \multimap \Theta$

-<sup>\beta</sup>  $(\Delta, \Delta \quad C \text{ ref val} = \text{state}_r$   
 $n \text{ ref.val} = \text{new State}(\Delta \text{ } ra \text{ } s \text{ } \text{trace } \Theta, t \multimap \Theta$

-<sup>\tau</sup>  $(\Delta, \Delta \quad C v(\text{state}_{ra} \text{ } \text{State} \text{ } . \text{ref val} = \text{state}_{ra} \text{ } \text{state}_{ra} \text{ } \text{State}(\Delta \text{ } ra \text{ } s \text{ } \text{trace } \Theta$   
 $n \text{ } t \multimap \Theta$

with  $c_{\text{ave}}$  as a component, or  $\Delta \text{ } ra \text{ } s \text{ } \text{trace } \Theta$  as required.

Case  $a = v(\Delta \text{ } . n \text{ call } p.l(\vec{v}) \text{ } ? \text{ an } C \quad C \text{ ref val} = \text{state}_r \quad n \text{ let } x \text{ } T = \text{block in } t$

$c_{\text{ave}}$

$(\Delta \multimap C \Theta$

-<sup>a</sup>  $(\Delta, \Delta \quad C \text{ ref val} = \text{state}_r$   
 $n \text{ let } y \text{ } U = p.l(\vec{v}) \text{ in let } x \text{ } T = \text{return}(y \text{ } U \text{ in } t \multimap \Theta$

-<sup>\beta</sup>  $(\Delta, \Delta \quad C \text{ ref val} = \text{state}_r$   
 $n \text{ let } y \text{ } U = \text{ref.val.inCall}_{p.lL}(\vec{v}) \text{ in let } x \text{ } T = \text{return}(y \text{ } U \text{ in } t \multimap \Theta$

-<sup>\tau</sup>  $(\Delta, \Delta \quad C \text{ ref val} = \text{state}_r$   
 $n \text{ let } y \text{ } U = \text{state}_r.\text{inCall}_{p.lL}(\vec{v}) \text{ in let } x \text{ } T = \text{return}(y \text{ } U \text{ in } t \multimap \Theta$

-<sup>\beta</sup>  $(\Delta, \Delta \quad C \text{ ref val} = \text{state}_r$   
 $n \text{ ref.val} = \text{new State}(\Delta \text{ } ra \text{ } s \text{ } \text{trace } \Theta, \text{ } ,$   
 $\text{let } y \text{ } U = \text{ref.val.out}$



for  $\Delta$   $q$   $r$   $s$  trace  $\Theta$  in  $F_x$  ures an with the nten e can n that a co ponent of  
 $\Delta$   $q$   $r$   $s$  trace  $\Theta$  as per or e the trace  $q$  an this s relate to so e pre x o s ote  
that as pre x or er n on traces s contain n

Case C  $C \text{ ref.val} = \text{state}_r$   $n \text{ ref.val} = \text{new State}(\Delta \text{ ra} \text{---} s \text{ trace } \Theta, t$   
 $\text{---} \tau \text{---} v(\text{state}_{ra}^T \text{ State } . C \text{ ref.val} = \text{state}_{ra} \text{ state}_{ra} \text{ State}(\Delta \text{ ra} \text{---} s \text{ trace } \Theta \text{---} n \text{---} t \text{---} C$   
 where  $t$  is a trace at  $n_i$  or  $\Delta \text{ ra} \text{---} s \text{ trace } \Theta$

By definition  $C$  is a component  $i$  or  $\Delta \text{ q } \text{ ra} \text{---} s \text{ trace } \Theta$

Case C  $C \text{ n let } x \text{---} T = \text{ref.val.out}_T(\text{ in } t \text{---} \tau \text{---} C \text{ n let } x \text{---} T = \text{state}_r.\text{out}_T(\text{ in } t \text{---} C$   
 where  $\text{proj } n(q) = \text{proj } n(r \text{---} n \text{---} s \text{ output enab } e \text{---} n \text{---} \Delta \text{---} r \text{---} \text{ trace } \Theta$  and  $t$  is a return  $(x \text{---} T$   
 trace at  $n_i$  or  $\Delta \text{ r} \text{---} s \text{ trace } \Theta$

$\vdash_i \Delta \text{ ra} \text{---} s \text{ trace } \Theta$  and  $a = v(\Theta) \text{---} n \text{ call } p.l(\vec{v}) \text{---} \text{ then}$

$$C \text{---} \beta \quad C \text{ n ref.val} = \text{new State}(\Delta \text{ ra} \text{---} s \text{ trace } \Theta, \text{---} \\ \text{ref.val.inReturn}_U(p.l(\vec{v}), \text{let } x \text{---} T = \text{ref.val.out}_T(\text{ in } t$$

where  $C$  is a component  $i$  or  $\Delta \text{ q } \text{ r} \text{---} s \text{ trace } \Theta$  as required

$\vdash_i \Delta \text{ ra} \text{---} s \text{ trace } \Theta$  and  $a = v(\Theta) \text{---} n \text{ return } v \text{---} \text{ then we must have that } r = r \text{---} v(\Theta) \text{---} \\ n \text{ call } p.l(\vec{v}) \text{---} r \text{---} \text{ where } n \text{---} s \text{---} \text{ ba nce } \text{---} n \text{---} r$

Case  $(\Delta \quad C \ n \ \text{let } x \ T = \text{block in } t \ \ominus \ \frac{v(\Delta \ .n \ \text{call } p.l(\vec{v}) \ ?}{\Delta, \Delta} \quad C \ n \ \text{let } y \ U = p.l(\vec{v} \ \text{in } \text{let } x \ T = \text{return}(y \ U \ \text{in } t \ \ominus \ \Theta$

where  $\text{projn}(q) = \text{projn}(r \ n \ s \ \text{input enab } e \ \text{in } \Delta \ \text{trace } \Theta$  and  $t$  is a  $\text{return}(x \ T)$  trace at  $n_i$  or  $\Delta \ \text{trace } \Theta$

$e$  have

$$C \ .^\beta \quad C \ n \ \text{let } y \ U = \text{ref.val.inCall}_{p.l.L}(\vec{v} \ \text{in } \text{let } x \ T = \text{return}(y \ U \ \text{in } t$$

where  $C$  is a component  $i$  or  $\Delta \ \text{trace } \Theta$  as required

Case  $(\Delta \quad C \ n \ \text{let } x \ T = \text{block in } t \ \ominus \ \frac{v(\Delta \ .n \ \text{return } v \ ?}{\Delta, \Delta} \quad C \ n \ \text{let } x \ T = v \ \text{in } t \ \ominus \ \Theta$

where  $\text{projn}(q) = \text{projn}(r \ n \ s \ \text{input enab } e \ \text{in } \Delta \ \text{trace } \Theta$  and  $t$  is a  $\text{return}(x \ T)$  trace at  $n_i$  or  $\Delta \ \text{trace } \Theta$

$e$  have

$$C \ .^\beta \quad C \ n \ t \ v/x$$

where  $C$  is a component  $i$  or  $\Delta \ \text{trace } \Theta$  as required

Case  $(\Delta \quad v(\Theta \ . \ C \ n \ \text{let } x \ T = p.l(\vec{v} \ \text{in } t \ \ominus \ \Theta \ \frac{v(\Theta \ .n \ \text{call } p.l(\vec{v})}{\Delta} \quad C \ n \ \text{let } x \ T = \text{block in } t \ \ominus \ \Theta, \Theta$

where  $\text{projn}(q) = \text{projn}(r \ \text{in } t \ \text{is a } \text{return}(x \ T)$  trace at  $n_i$  or  $\Delta \ \text{trace } \Theta$

$e$  have  $C$  is a component  $i$  or  $\Delta \ \text{trace } \Theta$  as required

Case  $(\Delta \quad v(\Theta \ . \ C \ n \ \text{let } x \ T = \text{return}(y \ U \ \text{in } t \ \ominus \ \Theta \ \frac{v(\Theta \ .n \ \text{return } v}{\Delta} \quad C \ n \ \text{let } x \ T = \text{block in } t \ \ominus \ \Theta, \Theta$

where  $\text{projn}(q) = \text{projn}(r \ \text{in } t \ \text{is a } \text{return}(x \ T)$  trace at  $n_i$  or  $\Delta \ \text{trace } \Theta$

$e$  have  $C$  is a component  $i$  or  $\Delta \ \text{trace } \Theta$  as required □

Let  $e$  on  $y$   $i$   $a_i$   $o_i$   $e$   $nab$   $ty$   $now$   $i$   $ows$   $by$   $an$   $uct$   $on$   $on$   $Le$   $as$   $B$   $B$   $an$   $B$   $wt$   $Le$   $a$   $B$   $as$   $the$   $base$   $case$   $a$   $n$   $app$   $ro$   $p$   $ri$   $ate$   $use$   $o$   $f$   $Co$   $ro$   $l$   $l$   $ary$   $B$   $i$

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